



ITW

S&H Form: (2/01)  
DOCKET NO. 1293.1278C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yong-jin Ahn et al.

Serial No: 10/806,106

Group Art Unit: 2655

Confirmation No. 1888

Filed: March 23, 2004

Examiner:

For: METHOD OF AND APPARATUS FOR RECORDING DATA ON OPTICAL  
RECORDING MEDIUM

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the name and address of the Assignee on the Official Filing Receipt be corrected. The correct name and address of the Assignee is **Samsung Electronics Co., Ltd., Suwon-city, Republic of Korea**, as is evidenced by the Executed U.S. Assignment, attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

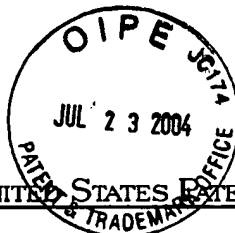
Respectfully submitted,

STAAS & HALSEY LLP

Date: 7/23/04

By: [Signature]  
Michael D. Stein  
Registration No. 37,240

1201 New York Ave, N.W., Suite 700  
Washington, D.C. 20005  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501



UNITED STATES PATENT AND TRADEMARK OFFICE

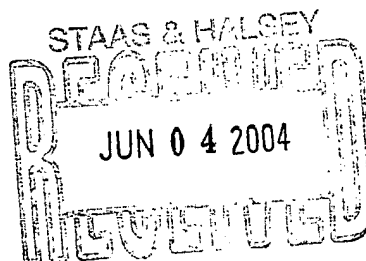
UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/806,106	03/23/2004	2655	770	1293.1278C1	18	16	2

CONFIRMATION NO. 1888

21171

STAAS & HALSEY LLP  
 SUITE 700  
 1201 NEW YORK AVENUE, N.W.  
 WASHINGTON, DC 20005



FILING RECEIPT



\*OC000000012843386\*

Date Mailed: 06/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Yong-Jin Ahn, Seoul, KOREA, REPUBLIC OF;  
 In-Sik Park, Gyeonggi-do, KOREA, REPUBLIC OF;  
 Kyung-Geun Lee, Gyeonggi-do, KOREA, REPUBLIC OF;  
 Chang-Jin Yang, Gyeonggi-do, KOREA, REPUBLIC OF;  
 Tatsuhiro Otsuka, Gyeonggi-do, KOREA, REPUBLIC OF;  
 Du-Seop Yoon, Gyeonggi-do, KOREA, REPUBLIC OF;  
 Seong-Sue Kim, Seoul, KOREA, REPUBLIC OF;

[ASSIGNEE]: Samsung Electronics Co., Ltd., Suwon-sity, Republic of Korea

**Domestic Priority data as claimed by applicant**

This application is a CON of 10/256,244 09/27/2002  
 which claims benefit of 60/327,305 10/09/2001  
 and claims benefit of 60/373,377 04/18/2002

**Foreign Applications**

REPUBLIC OF KOREA 2001-61039 09/29/2001  
 REPUBLIC OF KOREA 2001-80541 12/18/2001

If Required, Foreign Filing License Granted: 06/01/2004

Projected Publication Date: 09/09/2004

Non-Publication Request: No

**Early Publication Request:** No

**Title**

Method of and apparatus for recording data on optical recording medium

**Preliminary Class**

369

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## USPTO TO PROVIDE ELECTRONIC ACCESS TO CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS AND CEASE SUPPLYING PAPER COPIES

In support of its 21<sup>st</sup> Century Strategic Plan goal of increased patent e-Government, beginning in June 2004, the United States Patent and Trademark Office (Office or USPTO) will begin the phase-in of its E-Patent Reference program and hence will: (1) **provide downloading capability of the U.S. patents and U.S. patent application publications cited in Office actions** via the E-Patent Reference feature of the Office's Patent Application Information Retrieval (PAIR) system; and (2) **cease mailing paper copies of U.S. patents and U.S. patent application publications with Office actions** (in applications and during reexamination proceedings) except for citations made during the international stage of an international application under the Patent Cooperation Treaty (PCT). In order to use the new E-Patent Reference feature applicants must: (1) obtain a digital certificate and software from the Office; (2) obtain a customer number from the Office; and (3) properly associate patent applications with the customer number. Alternatively, copies of all U.S. patents and patent application publications can be accessed without a digital certificate from the USPTO web site, from the USPTO Office of Public Records, and from commercial sources. The Office will continue the practice of supplying paper copies of foreign patent documents and non-patent literature with Office actions. Paper copies of cited references will continue to be provided by the USPTO for international applications during the international stage.

### Schedule

June 2004  
July 2004  
August 2004

TCs 1600, 1700, 2800 and 2900  
TCs 3600 and 3700  
TCs 2100 and 2600

All U.S. patents and U.S. patent application publications are available on the USPTO web site. However, a simple system for downloading the cited U.S. patents and patent application publications has been established for applicants, called the E-Patent Reference system. As E-Patent Reference and Private PAIR require participating applicants to have a customer number, retrieval software and a digital certificate, all applicants are strongly encouraged to contact the Patent Electronic Business Center to acquire these items. To be ready to use this system by June 1, 2004, contact the Patent EBC as soon as possible by phone at 866-217-9197 (toll-free), 703-305-3028 or 703-308-6845 or electronically via the Internet at [ebc@uspto.gov](mailto:ebc@uspto.gov).

### **Other Options**

The E-Patent Reference function requires the applicant to use the secure Private PAIR system, which establishes confidential communications with the applicant. Applicants using this facility must receive a digital certificate, as described above. Other options for obtaining patents which do not require the digital certificate include the USPTO's free Patents on the Web program (<http://www.uspto.gov/patft/index.html>). The USPTO's Office of Public Records also supplies copies of patents for a fee (<http://ebiz1.uspto.gov/oems25p/index.html>). Commercial sources also provide U.S. patents and patent application publications.

*For complete instructions see the Official Gazette Notice, USPTO TO PROVIDE ELECTRONIC ACCESS TO CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS AND CEASE SUPPLYING PAPER COPIES, on the USPTO web site.*

# U.S. ASSIGNMENT

SAH 1/00

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter, "ASSIGNOR") by  
(Insert Name(s) & Address(es) of ASSIGNEE(S))

SAMSUNG ELECTRONICS CO., LTD  
416 Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do,  
Republic of Korea

(hereinafter, "ASSIGNEE"), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled  
(Title of Invention)

## METHOD OF AND APPARATUS FOR RECORDING DATA ON OPTICAL RECORDING MEDIUM

relating to International Patent Application PCT/IP / \_\_\_\_\_ and/or for which application for Letters Patent of the United States was executed on even date herewith or, if not so executed, was:

(a) executed on \_\_\_\_\_; (Insert date of execution of application, if not concurrent)

(b) filed on 27 September 2002

Serial No. 10 / 256,244

Any registered attorney of STAAS & HALSEY LLP, 700 Eleventh Street, N.W., Washington, D.C. 20001 (202/434-1500) is hereby authorized to insert in (b) the specified data, when known.

and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidence.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Typed Name & Signature of Inventor(s))

(Date)

(Typed Name & Signature of Witness(es))

1) <u>Yong-Jin Ahn</u> Yong-jin Ahn	<u>1 October 2002</u>	_____
2) <u>In-Sik Park</u> In-sik Park	<u>1 October 2002</u>	_____
3) <u>Kyung-geun Lee</u> Kyung-geun Lee	<u>1 October 2002</u>	_____
4) <u>Chang-jin Yang</u> Chang-jin Yang	<u>1 October 2002</u>	_____
5) <u>TATSUHIRO OTSUKA</u> Tatsuhiko OTSUKA	<u>1 October 2002</u>	_____
6) <u>Du-seop Yoon</u> Du-seop Yoon	<u>1 October 2002</u>	_____
7) <u>Seong-sue Kim</u> Seong-sue Kim	<u>1 October 2002</u>	_____